

ORDINANCE NO: 42

MEDIUM OF INSTRUCTION, EXAMINATION, EVALUATION AND GRADING SYSTEM FOR THE AWARD OF DOCTOR OF PHILOSOPHY

(Under Section 28(1)(b), 28(1)(c) and 28(1)(g) of the Central Universities Act 2009)

(Approved by the 33rd Academic Council held on 21.12.2022 and 58th Executive Council held on 23.12.2022)

Applicability: These guidelines shall be applicable to all previously enrolled/registered (on or after 05th May 2016) PhD degree RD scholars as well as the new entrants in PhD degree programme. However, the candidates enrolled/registered prior to 05th May 2016 shall be governed by UGC Regulations, 2009 for award of PhD degree. These guidelines shall not have any effect on previously allotted research supervisors and approved research synopsis. Those previous RD scholars who have passed all the courses of PhD coursework prescribed by the concerned department/center during a particular batch / admission year or have passed M.Phil. in concerned subject before getting admission in Ph.D. programme shall neither be required to pass the coursework again nor required to pass compulsory courses of PhD coursework (Research and Publication Ethics; Indian Traditional Knowledge and Practices; Pedagogy of Teaching-Learning Process) as recommended in these guidelines. All other RD scholars shall be compulsorily required to attend coursework classes in all respective courses and qualify all the respective courses of PhD coursework as prescribed in these guidelines. The existing Research Advisory Committees (RACs) in various Departments / Centers shall stand dissolved after enactment of these guidelines/provisions developed in accordance with UGC Regulations (Minimum Standards and Procedure for Award of M.Phil./PhD Degree), 2016. The respective role/functions of RACs shall be taken up by Departmental Research Committee (DRC) and Research Degree Committee (RDC) after implementation of these guidelines.

1. Admission to PhD Programme:

- 1.1 The university shall notify well in advance the number of seats available for admission to PhD programme in various subjects/ disciplines. The admission notification for PhD programme shall be issued once in an academic year by the office of Controller of Examination.
- 1.2 Applications for admission to PhD programme shall be received through an advertisement on university website.

2. Minimum Eligibility Criteria:

The following candidates shall be eligible to seek admission to the PhD programme:

- 2.1 Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.
- 2.2 The candidates who have cleared the M.Phil. course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale

- wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible.
- 2.3 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned earlier is permissible based only on the qualifying marks (in PG degree or M.Phil. degree) without including the grace mark procedures.
- 2.4 Candidates possessing a degree considered equivalent to MPhil of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institution, shall be eligible for admission to PhD programme.
- 2.5 An employee of any university/institution/college/government department/public sector undertaking/R & D industry/private industry or organization, fulfilling all eligibility conditions, may apply for admission to PhD programme of study in concerned subject. However, 'No Objection Certificate (NOC)' issued only by his/her employer / appointing authority only shall be mandatory required to be submitted at the time of submission of Ph.D. Admission fee without which he/she shall not be given admission in Ph.D programme. In addition, such candidates are compulsorily required to submit sanction order of Study leave/leave of the due kind for a minimum period of six months (at a continuous stretch) issued by his/her employer/appointing authority before the start of Ph.D. coursework classes failing which Ph.D. admission shall stand forfeited.
- 2.6 To be eligible, the applicant must have qualified any of the following:
- i. UGC-NET (including JRF)
 - ii. UGC-CSIR NET (including JRF)
 - iii. ICAR-NET (including JRF)
 - iv. SLET
 - v. GATE
 - vi. Teacher Fellowship Holder
 - vii. MPhil
 - viii. Himachal Pradesh Kendriya Vishwavidyalaya Shodh Patrata Pariksha (HPKVSPP)
 - ix. Any other eligibility criterion as notified by UGC from time to time
- 2.7 The university shall conduct its own Research Eligibility Test as per Clause 2.6(viii) above namely; Himachal Pradesh Kendriya Vishwavidyalaya Shodh Patrata Pariksha (HPKVSPP), which will remain valid for two years from the date of declaration of result. The eligibility, content, qualifying percentage, validity of HPKVSPP shall be decided by the university from time to time.

3. Duration of PhD Programme:

- 3.1 PhD programme shall be for a minimum duration of three years including course work and a maximum of six years.
- 3.2 Under special circumstances, extension beyond six years may be granted on genuine grounds by the Vice Chancellor for one more year.

- 3.3 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for PhD in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of PhD for up to 240 days.
- 3.4 The minimum residency period for each candidate admitted to PhD programme shall be one and half year (including course work duration). However, in case of candidates admitted to PhD programme as per Clause 2.5, the minimum residency period shall be one year (including course work duration). No relaxation shall be permissible in any case in this regard. Except residency period of six months during course work (in a continuous stretch), remaining required residency period can be completed by the research scholar in parts also.

Note:- The minimum residency period for the Ph.D. admissions made in year 2019 or prior to 2019 will be as per the respective notified rules/guidelines at that time. The residential period for Ph.D. admission made during year 2020 and 2021, the provisions contained in revised Ordinance 42 notified vide Notification No. 3-3/CUHP/GA/2010/Vol.IV/6744-59 dated 18.10.2021 shall apply.

- 3.5 In case a candidate wishes to take up job/any assignment, the NOC/permission to such candidate shall only be granted after successful completion of Ph.D. coursework subject to the condition stipulated under Clause 3.4. The permission/NOC shall be granted by the concerned Head of the Department/Centre on the recommendations of the concerned supervisor. However, if a candidate leaves the Ph.D. programme without the successful completion of Ph.D. coursework to take up any job/assignment, the admission of such candidate in Ph.D. programme shall stand forfeited.
- 3.6 The attendance of the RD scholars during the entire duration of Ph.D. programme shall be ensured by the concerned supervisor only and any such attendance of the research scholar shall be certified only by the concerned supervisor which shall be further countersigned by the Head of the Department/Director of the Centre on the recommendations of the Supervisor.

4. Admission Procedure:

- 4.1 After applying for admission to PhD programme in accordance with the admission notification issued by CoE office, the list of applicants with complete details and forms shall be sent by CoE office to the concerned department. After scrutiny of the forms, the department will issue a list of candidates eligible to appear in the entrance test. The admission to PhD programme shall be made through an entrance test to be conducted by the office of the Controller of Examination. The entrance test will be of qualifying nature and a minimum of 50% marks (5% relaxation to reserved category candidates) is required to be scored by each candidate in entrance test for becoming eligible for admission to PhD degree programme. The candidates awarded with JRF/any other fellowship shall be exempted from entrance test. All remaining candidates (including those with NET/ SLET/ GATE/ MPhil etc.) are mandatorily required to appear in PhD entrance test. The syllabus of the entrance test shall comprise of 50% research methodology and 50% subject specific knowledge related content. Entrance test shall comprise of 100 marks with 20% weightage in composite score (Candidates with JRF /any other fellowship shall be given 20 marks). The entrance test shall be conducted by office of the Controller of Examinations and it shall be notified in advance by the university. All the formalities with

regard to conduct of entrance test shall be carried out by the office of the Controller of Examinations.

- 4.2 The candidates who will qualify the entrance test shall move to second stage of selection to PhD degree programme. This second stage will include interaction and interview/viva-voce and have following weightage to different components as under:

| Sr. No. | Criteria for Admission | Maximum Weightage Assigned |
|--|---|---|
| 1 | UG Degree in Concerned Relevant Subject | 10% of Percentage of Marks obtained |
| 2 | PG Degree in Concerned relevant Subject | 30% of Percentage of Marks obtained |
| 3 | JRF / Any other Fellowship NET / SLET / M.Phil./HPKVSP | 20 Marks for JRF / other Fellowship Holders (All other remaining candidates will be given 20% weightage of the marks obtained in PhD Entrance Test) |
| 4 | Subject Knowledge | 10 Marks |
| 5 | Concept Note (to be prepared by candidate) and its presentation | 10 Marks |
| 6 | Communication Skills | 10 Marks |
| 7 | Interview and Viva-Voce | 10 Marks |
| Total Marks / Total Composite Score (Maximum Composite Score) | | 100 Marks |

- 4.3 The interview/viva-voce shall take into consideration specifically that whether the candidate possesses the research aptitude and competence for undertaking the research work.

- 4.4 The admission of candidates to PhD programme shall be made on the basis of merit drawn in accordance with above criteria i.e. out of 100 marks (Maximum Composite score).

5. Departmental Standing Committee (DSC)

- 5.1 For making admissions to PhD programme of study, a Departmental Standing Committee (DSC) shall be constituted by each department which shall have following composition:

- Head of the Department - Chairman
- All Professors of the Department - Members
- Senior Most Associate Professor of Department (On Rotation Basis) - Member
- Senior Most Assistant Professor of Department (On Rotation Basis) - Member
- Representative/s of SC/ST/OBC/ Women/ PWD, if none of above members (i) to (iv) belong to these categories who may be Professor/ Associate Professor / Assistant Professor from other departments, if required (to be appointed by Vice Chancellor).

- 5.2 This committee shall be notified by the Head of the concerned department after getting approval from the Vice Chancellor. The term of the committee (DSC) shall be for a period of three years. Half of the total members of DSC shall constitute the quorum, but the presence of atleast one representative from any of the reserved categories is essential in this regard. This committee shall perform following functions:

- To complete admission related formalities at department level.
- Deleted**
- To conduct interview/viva-voce for making admissions and draw out merit on the basis of composite score (out of 100 marks).
- To allot research supervisors to the selected candidates. While allotting the research supervisors, the committee shall first take into consideration the area of interest

(two areas of interest to be filled in by the candidate in order of preference in his/her application form) of the candidate and specialization of the available research supervisor and accordingly shall make the allotment. If no such case of area of interest of candidate will be there, the allotment of candidate shall be done on the basis of admission merit of the candidate vis-à-vis seniority of the available research supervisors. In this context, it is pertinent to mention that initially one seat to each supervisor shall be allotted (by following above procedure of merit vis-à-vis seniority) and afterwards, same cycle shall be adopted to allot remaining seats. No prior recommendation of research supervisor is required for seeking admission in PhD programme of study. This process of allotment of research supervisor as per the above procedure has to be completed by the DSC within the period of six months of the coursework/before completion of coursework classes/examination in every case.

- v. The departmental standing committee (DSC) shall make efforts to ensure that all available seats be filled as per permissible number of seats for Assistant Professor, Associate Professor and Professor according to UGC guidelines. However, specific reasons are required to be recorded in writing by DSC in case seat/s remain vacant.
- vi. Each selected candidate will be allotted a Registration Number by CoE office on the recommendations of DSC immediately after completion of admission-related formalities. A formal letter in this regard with a clear mention about Registration Number, Name of allotted Research Supervisor, Date of Registration shall be issued by the Office of the Controller of Examinations on the recommendations of DSC.
- vii. The allotment of research supervisor by DSC shall be further submitted to RDC and BoS and subsequent statutory bodies for approval/ratification.

5.3 The reservation to candidates belonging to different categories in PhD admissions will be made at the University level. The reservation roster for admissions to PhD programme in all departments of studies shall be prepared by the office of the Director (Research) for entire university as a whole. The departments (through its DSC) will maintain proper records of reservation to different categories in PhD programme of study while making admissions.

6. Registration for PhD Programme:

6.1 After admission to the PhD programme, the candidate shall apply for registration on a prescribed proforma as per P-2. The Controller of Examinations (CoE) shall notify the registration of the PhD scholar after admission upon the request of the Head/ Director of the concerned Department/ Centre on the recommendations of Departmental Standing Committee (DSC). The PhD scholar shall be deemed registered from the date of submission of admission fee and other fee and the candidate's registration shall be notified by CoE office.

6.2 The University shall maintain the list of all the PhD registered students on its website on year-wise basis. The list shall include the name of the registered candidate, name of her/his supervisor/co-supervisor, date of registration etc.

7. Allocation of Supervisor & Eligibility Criteria to be a Research Supervisor, Co-Supervisor, Number of PhD scholars Permissible per Supervisor, etc.

7.1 Any regular Professor of the University with at least five research publications in refereed journals and any regular Associate/Assistant Professor of the university with a PhD degree and at least two research publications in refereed journals shall be recognized as Research Supervisor. Provided that in areas/disciplines where there is no or only a limited number of refereed journals, the university may relax the above condition for recognition of a person as Research Supervisor with reasons recorded in writing.

- 7.2 Only a full time regular teacher of the University shall act as a supervisor. The external supervisors are not allowed. However, co-supervisor can be allowed in inter-disciplinary areas from other departments of the CUHP or from other related institutions with the approval of the Research Degree Committee (RDC) on recommendation of Departmental Standing Committee (DSC). The RDC may suggest some other co-supervisor in case the co-supervisor opted by candidate/main research supervisor is not considered appropriate by RDC.
- 7.3 In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/Centre of the university on such terms and conditions as may be specified and agreed upon by the consenting institutions. The UGC guidelines for eligibility of research supervisors and permissible number of seats for a supervisor (Assistant Professor/Associate Professor/Professor) shall be followed strictly.
- 7.4 A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than Eight (8) PhD scholars. An Associate Professor as Research Supervisor can guide up to a maximum of six (6) PhD scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of four (4) PhD scholars. If a teacher is supervising any PhD candidate (other than a candidate of CUHP) of any university/institute in any capacity either as supervisor or co-supervisor, then this candidate shall be counted under his/her earmarked limit of number of candidates. Any co-supervisor appointed from outside CUHP shall be required to submit own willingness / consent letter and a 'No Objection Certificate / Permission Letter' from his/her employing institution through proper channel clearly mentioning that his/her parent institution has no objection and accord him/her permission to act as co-supervisor for guiding the research work of PhD candidate of CUHP.
- 7.5 In case of relocation of a PhD woman scholar to CUHP or from CUHP to some other university/institution due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will, however, give due credit to the parent guide and the institution for the part of research already done. However, such women research scholars have to seek NOC/ permission from both the concerned universities/ institutions subject to availability of seats in the concerned department/centre. Such permission/ NOC has to be obtained prior to the starting of formalities required for the relocation/ admission of the women research scholar. The decision regarding the exemption/ non-exemption/ partial exemption from Ph.D. coursework shall be taken by the office of the Controller of Examinations after ascertaining the equivalence of the coursework credits earned by the women research scholar in her previous university/ institution. However, such women RD scholars are compulsorily required to qualify/pass three compulsory courses of Ph.D. Coursework of two credits each as prescribed under Clause 8.6.
- 7.6 The superannuated teachers along with those who have resigned from CUHP or having lien with CUHP will continue to supervise students already registered under them. Such teacher is required to give an undertaking with regard to supervision of PhD research work upto its completion at the time of relieving due to retirement/ acceptance of resignation/ granting of

lien. The DSC shall ensure that those teachers who are going to retire from the university services within two years shall not be allotted additional candidates for Ph.D. programme despite availability of seats under them.

8. PhD Coursework:

8.1 The PhD degree shall be of minimum of 120 credits and maximum of 124 credits (including minimum 18 and maximum 22 credits of coursework). The allocation of credits for different tasks/activities under PhD programme shall be as under. This is the minimum credit requirement for each candidate for being eligible for award of PhD degree.

| Sr. No. | Particular of Task / Activity | Credits Allocated |
|----------------------|---|--------------------------|
| 1 | PhD Coursework | 18 - 22 Credits |
| 2 | Participation in Academic / Examination /Co-Academic / Administrative Tasks assigned by Deptt./School | 10 Credits |
| 3 | Publication of Research papers in atleast peer-reviewed journals | 12 Credits |
| 4 | Presentations in atleast national level Seminars/ Conferences / Workshops | 10 Credits |
| 5 | Pre-Synopsis Submission and Pre-PhD Thesis Submission Presentation in Open Seminar before DRC | 10 Credits |
| 6 | PhD Thesis | 60 Credits |
| Total Credits | | 120 – 124 Credits |

Note: The candidates admitted during the year 2019 or before 2019 shall be required to earn 120 credits as per previously notified credit distribution which was applicable during that period (notified vide Notification No. 3-3/ CUHP/GA/2010/Vol.IV/6744-59 dated 18.10.2021). However, the candidates admitted during the year 2020 and afterwards shall have to complete/earn the course credits as per the distribution given in above table under Clause 8.1.

8.2 The successful completion of the coursework shall be a prerequisite for pursuing PhD programme. The candidates admitted to PhD programme as per Clause 2.5 of these guidelines must ensure leave of due kind from his/her employer/appointing authority specifically for attending PhD coursework and other PhD related works as mentioned in Clause 2.5 of these guidelines amended from time to time.

8.3 The coursework in PhD programme shall be mandatory for all candidates (including those who have done M.Phil. earlier or completed coursework earlier from some other university or CUHP). There shall be no exemption to any candidate from PhD coursework on any ground, whatsoever it may be. The duration of coursework shall be six months and each candidate has to complete the coursework within a maximum duration of one year. Any further extension (but not more than 3 months) in this regard shall only be given by Vice-Chancellor, in highly exceptional circumstances.

8.4 All courses prescribed for PhD coursework shall be in conformity with the credit hour instructional requirement of CUHP and shall specify content, instructional and assessment methods duly approved by the Board of Studies and other statutory bodies.

8.5 The courses shall be offered by the department in accordance with programme requirement and expert availability.

8.6 Each candidate is required to earn minimum 18 and maximum 22 credits during his/her coursework. Following three courses of two credits each shall be mandatory for all newly admitted candidates from session 2021-2022 onwards (irrespective of their discipline/subject):

- Research and Publication Ethics (RPE) - 2 credits
- Pedagogy of Teaching-Learning Process (PTLP) - 2 credits
- Indian Traditional Knowledge and Practices (in Concerned Discipline/Subject) (ITKP) - 2 credits

8.7 The course contents of RPE (Research and Publication Ethics) course shall be finalized by concerned department at its own level by keeping into consideration the UGC guidelines. The course content of PTLP (Pedagogy of Teaching-Learning Process) shall be finalized by School of Education and be circulated to all the departments of university. The course content of this course shall be transacted jointly by School of Education and concerned department. The course content of ITKP (Indian Traditional Knowledge and Practices) shall be finalized by the concerned department keeping in view the recommendations of NEP-2020. The contents of this course shall be specific to the concerned discipline/subject.

8.8 Remaining minimum 12 or maximum 16 credits of the course work shall be earned by the candidate by studying 3 or 4 courses of 4 credits each, as the case may be. A minimum of four credits shall be compulsorily assigned to atleast one course on Research Methodology in concerned subject/discipline which could cover areas such as quantitative methods, qualitative methods, computer applications and review of published research in the relevant field, training, field work, etc. Other courses shall be advanced level courses on research or relevant discipline-specific areas for preparing the students for PhD degree. The remaining courses of 4 credits shall be decided / finalized by the concerned department (on the recommendations of DRC) in accordance with their academic requirements, expertise available and research facilities present in the department.

8.9 Both end-term and mid-term examinations in PhD coursework shall be internal in nature. The whole process of coursework examinations including paper setting, evaluation etc. in case of both end-term and mid-term examinations shall be undertaken by the office of Controller of Examinations. The CoE office, through the respective department, shall get the question papers prepared and answer scripts evaluated from the concerned course teacher of the department. A candidate is required to attain a minimum of 50% marks in each course undertaken in coursework and minimum 55% marks in aggregate to successfully complete the coursework.

8.10 In case of 4 credits course on Research Methodology in each department, the marks distribution for end term examination shall be as follows:

- 100 marks for the theory examination
- 20 marks for viva-voce examination (External)

The question paper pattern for 100 marks in theory examination (End term) in above Research Methodology course shall be as follows:

- 20 questions x 1 mark (objective type questions) = 20 Marks
- 10 questions x 5 marks (with internal choice) = 50 Marks

- 2 questions x 15 marks (with internal choice) = 30 Marks
- Total = 100 Marks for theory

8.11 In case of mid-term examination in this course on Research Methodology, the marks for theory paper shall be 30 (excluding 10 marks for viva voce) and question paper pattern shall be as follows:

- 30 marks for the theory examination
- 10 marks for viva-voce examination (Internal)

The question paper pattern for 30 marks in theory examination (Mid-term) in Research Methodology course shall be as follows:

- 5 objective type questions = 5 Marks
 - 10 x 1 questions (with internal choice) = 10 Marks
 - 15 x 1 question (with internal choice) = 15 Marks
- Total = 30 Marks for theory

8.12 The Tables shown as **Appendix-I** shall be followed.

8.13 **Internal Assessment:** The internal assessment shall be awarded in the following manner in all courses:

For 4 Credit Course: 40 Marks

Presentation of Report based on field activity/field work = 10 Marks

Participation level in classroom and other activities = 10 Marks

Two Assignments (10 Marks each) of analytical nature = 20 Marks

For 2 Credit Course: 20 Marks

Presentation of Report based on field activity/field work = 05 Marks

Participation level in classroom and other activities = 05 Marks

One assignment of analytical nature = 10 Marks

9. Departmental Research Committee (DRC): Preparation / Submission of Synopsis and Review/Monitoring of Research Progress by Departmental Research Committee (DRC)

9.1 The Departmental Research Committee (DRC) shall be constituted and notified by each department for a period of three years after the approval of Vice-Chancellor and shall have following composition:

- Head of the Department - Chairman
- Director (Research) or Nominee - Member
- Dean of Concerned School or Nominee - Member
- All Faculty Members of concerned Department - Members

9.2 Half of the total members of DRC shall form the quorum. The nominee must not be below the rank of associate professor who may be from any relevant discipline as considered appropriate by Director (Research)/concerned Dean.

9.3 The main functions of Departmental Research Committee (DRC) shall be as follows:

- This committee shall play the role of advisory committee and shall monitor/review the progress of research work of the candidate.

- ii. Each candidate is required to present his/her research proposal /synopsis in this committee so as to finalize the research topic and research proposal at an initial and internal level. This presentation shall be an open presentation where all RD scholars and PG students of concerned department/s can participate to share their opinions and suggestions to bring improvements in the draft research proposal.
 - iii. To guide the research scholar to develop the study design and methodology of research.
 - iv. Only those research topics and research proposals recommended by this committee (DRC) shall be permitted for submission to Research Degree Committee (RDC) in the next stage for final approval.
 - v. To periodically review and assist in the progress of the research work of the research scholar, the DRC shall monitor the progress of the PhD scholar on the basis of following general guidelines:
 - Participated in atleast one Seminar/Conference/Workshop preferably during every year.
 - Review of different books, articles, research papers, project reports, thesis etc.
 - Surveyed field/ libraries/ institutions for identification of literature and other primary sources-based data.
 - Undertaken laboratory/practical work/field work relevant to her/his area of study.
 - Made efforts to prepare and publish atleast a research paper/ book chapter/ edited chapter/ book review/ monograph etc.
 - Actively participated in various academic / examination-related / co-academic / administrative tasks assigned from time to time by the department/school.
 - Once a synopsis has been approved by Research Degree Committee (RDC) and subsequently approved/ratified by Board of Studies (BoS), the DRC shall not have any authority to discuss/ revise upon the title, objectives, research questions of the approved synopsis. Any final remark about the research progress of the candidate by the DRC shall be made after consultation with concerned research supervisor.
 - vi. The RD scholars are required to submit only six monthly (Half yearly) progress report of their Ph.D. research work and DRC shall only monitor/review and approve such six monthly progress reports. There shall be no submission of monthly or quarterly progress reports of Ph.D. research work by the RD scholars to the supervisor/ Department/ DRC.
- 9.4 The DRC shall ensure that above guidelines are only general in nature and these should not be taken as mandatory while reviewing and monitoring the research progress of the RD scholars.
- 9.5 A research scholar shall appear before the departmental research committee (DRC) once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six-monthly progress report shall be recorded in the DRC minutes and comments/suggestions shall be provided to concerned research scholar.
- 9.6 In case the progress of the research scholar is unsatisfactory in three consecutive progress reports, the DRC shall record in writing and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Departmental Research Committee (DRC) may recommend to the DSC, RDC and Board of Studies (BoS) with specific reasons for cancellation of registration.

- 9.7 A PhD scholar shall have to prepare a synopsis in consultation with the supervisor on her/his topic of research. For its finalization, s/he shall have to defend it before of Departmental Research Committee (DRC). During synopsis presentation, the RD Scholars & PG Students of the concerned department may participate in DRC meeting. The synopsis shall be finally approved by the Research Degree Committee (RDC) lateron and shall be further placed for ratification in Board of Studies (BoS) and the School Board of the concerned Department/Centre and School respectively.
- 9.8 A PhD scholar shall get one chance to request for modifications in any part of his/her synopsis six months prior to the actual submission of the thesis. On the recommendations of the concerned supervisor, the PhD student shall have to defend the modifications in synopsis before her/his Departmental Research Committee (DRC). Afterwards, these modifications in the synopsis are required to be approved by the Research Degree Committee (RDC) and ratification in subsequent statutory bodies i.e. (BoS) and the School Board of the concerned Centre/ Department/School before the thesis is finally submitted for evaluation.
- 9.9 There shall be no specific time limit for submission of Synopsis/ Research Proposal either to DRC or RDC. The candidate is only required to complete entire PhD programme within prescribed duration mentioned under Clause 3 of these guidelines. There shall be minimum of two meetings of Departmental Research Committee (DRC) in an year for initial finalization of research topic/ synopsis and to review/monitor the research progress of the candidate. At the time of presentation of research synopsis by the candidate as well as during the review/monitoring of the research progress of the candidate, the concerned research supervisor of the respective candidate shall act as the convener of the committee under overall chairmanship of the head of the department.
- 9.10 The Pre-PhD thesis submission presentation in an open seminar shall also be carried out in Departmental Research Committee (DRC). Prior to the submission of the thesis, the scholar shall make a presentation (Pre-PhD thesis Submission Seminar) before the Departmental Research Committee (DRC) which shall be open to faculty members and research scholars of all departments of the university. The feedback and comments obtained from them may be suitably incorporated into the draft thesis in consultation with the DRC.

10. Research Degree Committee (RDC) :

- 10.1 The Research Degree Committee (RDC) shall be constituted and notified at departmental level for a period of three years after the approval of Vice-Chancellor. The RDC shall have following composition:
 - i. Dean of Concerned School - Chairperson
 - ii. Head of Concerned Department - Member
 - iii. All Professors of Concerned Department - Member
 - iv. Concerned Research Supervisor - Member
 - v. Three External Experts of Professor level to be appointed by Vice-Chancellor from a Pool of Experts (already available with the Vice Chancellor) or Panel of Experts submitted by Dean of concerned School on the recommendations of concerned Head of the Department/Director of Centre - Members
- 10.2 Half of total members (including at least two external experts) shall form the quorum of RDC. This committee shall meet twice in a year with a gap of at least six months between two meetings.

10.3 The RDC shall have following mandate and functions:

- i. To review the research proposal and finalize the topic of research.
- ii. To make decision with regard to final approval/modification/revision of research synopsis/proposal submitted by RD scholar after recommendation accorded by Departmental Research Committee (DRC).
- iii. To make recommendations for upgradation of JRF candidates to SRF after reviewing their research progress.
- iv. To recommend panel of examiners for evaluation of PhD thesis.
- v. To approve the allotment of research supervisors to the candidates by DSC.

10.4 The decisions of RDC shall be further submitted to BoS (Board of Studies) and subsequent statutory bodies for approval/ratification.

10.5 After approval of synopsis by RDC, a formal letter regarding approval of research title and research synopsis (separate mention about approval of two aspects) shall be issued to the concerned research scholar by the head of the department with intimation to the office of Controller of Examinations.

11. Modification of the Title of the Thesis/Research:

11.1 If there is a need for modification of the title of thesis, the candidate shall submit an application to Departmental Research Committee (DRC) through supervisor at least six months before the date of submission of the thesis for approval. But the final approval for this purpose shall be accorded by Research Degree Committee (RDC). The same is required to be ratified in the BoS and School Board.

12. Change of Supervisor:

12.1 A candidate confirmed for PhD programme shall be allowed to change her/his supervisor in case of an eventuality like death or extremely serious illness of supervisor or in highly exceptional-cum-unavoidable circumstances to be recorded in writing. Under above stated circumstances only, the Vice-Chancellor may grant permission for the change of research supervisor subject to the availability of seats under any faculty member of concerned department/ centre. After the approval from the Vice-Chancellor, the Head of Department/ Director of Centre shall place such request before the Departmental Standing Committee (DSC) and a new supervisor shall be allotted to the research scholar. This decision shall be placed for ratification before RDC and further to BoS and School Board of concerned department for ratification.

12.2 Deleted

12.3 Deleted

13. Submission of Thesis:

13.1 A PhD scholar shall be permitted to submit her/his PhD thesis for evaluation subject to the condition that s/he has:

- a) completed course work successfully and satisfactorily.
- b) completed three years period after registration.
- c) published two papers in refereed journals (atleast national level journals which may be registered in Scopus index/ Web of Science/UGC-CARE list/Peer reviewed).

- d) made atleast two presentations in national/international level seminars/conferences/workshops.
 - e) made Pre-PhD submission before the DRC in a seminar open for all.
 - f) produced certificate to the effect that the research work is original and has not been plagiarized.
 - g) fulfilled any other condition required for the evaluation of the thesis.
- 13.2 After fulfilling all the above conditions as per Clause 13.1 above, the candidate shall be permitted to submit the thesis. The thesis shall contain a detailed account of research work carried out by the candidate and should constitute a significant contribution to knowledge characterized either by discovery of new facts or re-interpretation of known facts, or development of new techniques/methodologies/ models.
- 13.3 The first page of the thesis shall be as per **ANNEXURE – II**.
- 13.4 The thesis shall also contain a certificate as per **ANNEXURE - III** to the effect that the thesis incorporates the results of investigations carried out by the candidate herself / himself and that the results are not submitted earlier to any other institution / university for the award of any degree.
- 13.5 There shall also have to be an undertaking from the research scholar and a certificate from the Research Supervisor attesting the originality of the work, vouching that there is no plagiarism as per **ANNEXURE IV**. This certificate shall be signed by the candidate as well as the supervisor(s) and shall certify that the work has not been submitted for the award of any other degree/diploma of the same institution where the work was carried out, or to any other institution.
- 13.6 The candidate shall also be required to submit a “No Dues Certificate” from concerned offices of the University prior to the submission of his/her thesis.
- 13.7 The candidate shall be required to submit five hard copies of the thesis and its soft copy on four CDs along with four copies of the approved synopsis and the summary of the research work not exceeding 5000 words to the Controller of Examination through the Head of the Department / Director of the Centre for Evaluation purpose.
- 13.8 The thesis shall be written in Hindi or English. However, when the subject matter relates to or is based on a language other than Hindi or English, it may be written in the relevant language.
- 13.9 The candidates admitted during year 2019 or prior to 2019 and who wish to submit their Ph.D. thesis are required to fill-up/submit Ph.D. thesis submission-related forms as prescribed by the Office of the Controller of Examinations as per previously notified Ph.D. admission guidelines at the time of Ph.D. admission/ concerned admission year. However, the candidates admitted in 2020 and afterwards shall be required to submit/fill-up Ph.D. thesis submission-related forms as prescribed under these Ordinance/guidelines notified vide Notification No. 3-3/ CUHP/GA/2010/Vol.IV/ 6744-59 dated 18.10.2021.
- 13.10 The anti-plagiarism report at the time of submission of thesis shall be required to be issued and certified by the university Librarian or the person authorized by the University Librarian for this purpose after due approval from the competent authority. Only such certificate of anti-plagiarism report shall be accepted for thesis submission.

14. Appointment of Examiners and Evaluation of the Thesis:

- 14.1 The PhD thesis submitted by a research scholar shall be evaluated by his/her research supervisor and at least two external examiners. Once a candidate has submitted the thesis for the award of PhD degree, the supervisor concerned shall prepare a panel of 10 external examiners not below the level of Professor and who are not in the service of the CUHP and/or are not Members of the RDC of concerned department. In exceptional circumstances like unavailability of Professors in certain disciplines, the panel of external examiners may include one or two (to the maximum) persons of the rank of Associate Professor in concerned subject. This panel of examiners shall be submitted by the research supervisor to the Research Degree Committee (RDC) through Head/Director of department/centre so that it may be recommended by RDC through office of the Controller of Examination to the vice chancellor for appointment of examiners for thesis evaluation and for viva-voce examination. Such recommendations of panel of the external examinations by RDC shall be submitted to the Office of the Controller of Examination in sealed envelope by Head/Director of department/centre. The Office of the Controller of Examination shall carry out the procedure for appointment of external examiners for thesis evaluation as well as for conducting Ph. D viva-voce examination.
- 14.2 The Vice-Chancellor shall, from the panel so recommended by RDC, appoint two examiners to evaluate the thesis. Provided that, if the Vice-Chancellor is not satisfied with the Panel submitted to her/him, she/he may appoint examiner from pool of examiners already available with Vice-Chancellor. The examiner for conduct of Viva-Voce examination shall be appointed by Vice-Chancellor out of two examiners after receiving reports of thesis evaluation from them. The examiner for Viva-voce shall only be appointed if both evaluation reports are satisfactory.
- 14.3 After obtaining the consent of the examiners so appointed by the Vice-Chancellor, the Controller of Examination shall arrange to dispatch the thesis along with the approved synopsis and summary to each examiner for the evaluation of the thesis and submission of their report.
- 14.4 Each examiner shall be required to submit a detailed report to the Controller of Examinations containing a clear recommendation whether in her/his opinion: (i) the thesis be accepted for the award of the degree of PhD and viva-voce examination of the candidate be held; or (ii) the thesis be referred back to the candidate for revision; or (iii) the thesis be rejected.
- 14.5 The examiner(s) shall not recommend that the thesis be accepted for the award of the degree and viva-voce examination be held unless he/she is satisfied that the thesis constitutes a contribution to knowledge characterized either by the discovery of new facts or by re-interpretation of known facts or development of new techniques / methodologies / models and that the methodologies pursued by the candidate are sound and its literary presentation is satisfactory.
- 14.6 In case an examiner recommends that the thesis be referred back to candidate for revision, he/she shall be required to give in his detailed report the reasons for such a recommendation and also clearly delineate the details of the revision that is required to be made by the candidate in the thesis.
- 14.7 In case an examiner recommends that the thesis should be rejected, he/she shall be required to give detailed reasons in her/his report justifying the recommendation.

15. Viva-Voce Examination:

- 15.1 The public viva-voce of the research scholar to defend the thesis shall be conducted only if both the evaluation report(s) of the external examiner(s) on the thesis are satisfactory and include a specific recommendation for conducting the viva-voce examination.
- 15.2 The evaluation reports of the thesis submitted by two external examiners in sealed envelopes shall be opened and scrutinized by concerned Head of Dept. / Center and research supervisor in the office of CoE. On the basis of scrutiny of the recommendations given by external examiners, Head of the Department/Center and supervisor shall forward the evaluation reports to the Vice-Chancellor for further necessary action (as the case may be) through the office of CoE. The external examiners for viva-voce shall be appointed by Vice Chancellor and all necessary formalities in this regard shall be undertaken by CoE office. Afterwards, the CoE office shall inform Head/Director to make arrangement for conduct of Viva-Voce Examination (to be conducted at department level) after finalizing a date with mutual consent of external examiner, Head of the department, Research Supervisor and RD Scholar.
- 15.3 In case the examiners of the thesis have not unanimously recommended that the thesis be accepted for the award of the degree and the holding of the viva-voce examination, the CoE office shall be required to act as under:
- i. In case an examiner has recommended that the thesis be referred back to the candidate for revision, the candidate shall be required to submit the revised thesis within a period of six months and that the revised thesis shall be sent for evaluation to the same examiner who had recommended for the revision. If upon revision, the examiner recommends that the thesis be accepted for the award of the degree and viva-voce examination be held, the CoE office shall arrange to hold the viva-voce examination of the candidate as per procedure explained earlier
 - ii. In case the examiner after evaluation of the revised thesis recommends either that the thesis be further revised or be rejected, the CoE office shall recommend to the Vice-Chancellor that a third examiner be appointed for the evaluation of the thesis and that any further action in this context be carried out in accordance with the recommendation of the third examiner.
 - iii. If one of the evaluation reports of the external examiner is unsatisfactory and does not recommend viva-voce, the university shall send the thesis to another external examiner to be appointed by Vice Chancellor and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the thesis shall be rejected and the research scholar shall be declared ineligible for the award of PhD degree.
 - iv. No thesis shall earn a degree unless two external examiners have recommended that the thesis be accepted for the award of the degree and viva-voce examination be held.
- 15.4 The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by the external examiner in the presence of DRC including Head of the Department/ Director of the centre, Research Supervisor and shall be open to all faculty members, research scholars and other interested experts/researchers across different departments/centres of the university. The viva-voce examination shall be conducted under the supervision of the Head of the department / Director of the centre.
- 15.5 The external examiner appointed for conducting viva-voce examination and DRC having satisfied itself that the thesis submitted by the candidate is his/her own work and that the candidate has

defended his/her thesis satisfactorily, shall recommend for the award of the degree of PhD to the candidate. In case of any difference of opinion in this regard, the decision of the external examiner shall be considered final.

- 15.6 The recommendation of the external examiner appointed for conducting viva-voce examination together with all other relevant documents shall be forwarded by the Head/ Director to the Controller of Examinations (CoE) for submission and approval from the Vice-Chancellor. After due approval from the Vice-Chancellor, the CoE office shall issue notification regarding award of PhD degree. The PhD degree to a candidate shall be awarded from the date of approval by the vice-chancellor. The PhD degree to the candidates shall be awarded to the candidates in the Convocation of the University organized from time to time. However, on the specific request of the candidate, the CoE office, after observing all codal formalities, may issue the candidate, the Provisional Degree/Certificate or Original Degree (in Absentia) mentioning to the effect that the Degree has been awarded in accordance with the provisions of the relevant UGC Regulations, (2009 or 2016 as the case may be). This shall be further placed before AC for approval.
- 15.7 The university shall make efforts to complete the entire process of evaluation of PhD thesis and conduct of viva-voce examination within a period of six months from the date of submission of thesis. An extension of three months can be granted by Vice-Chancellor in this context.

16. Removal of Name of a PhD Student from the Rolls of the University:

- 16.1 The name of a PhD scholar shall be removed from the rolls by the department/centre if s/he:
- does not clear the coursework within first two semesters of the admission to PhD programme (extension of three more months may be given by Vice Chancellor in highly exceptional circumstances).
 - does not submit the thesis within the maximum permissible period of time.
 - does not pay university fees and other dues in time.
 - indulges in any behaviour/conduct that violates university rules / discipline leading to punishment, or removal of the name from the rolls of the university as per relevant Ordinances / Regulations of the university.
- 16.2 In case a candidate is removed from the rolls of the University as per Clause 16.1 above, the name of the candidate along with her/his registration number shall be placed before the DSC, RDC, Board of Studies and the School Board for information.
- 16.3 The Vice Chancellor on the recommendations of DSC may, however, subsequently accept the request of a candidate, whose name has been removed from the rolls of the University under Clause 16.1(c) above, to get re-enrolled and become eligible for submission of her/his thesis by paying fee as prescribed by the University from time to time, provided that s/he submits her/his thesis as per Clause 3 of these provisions. Such candidate shall also be required to pay the unpaid fee and late fee as per university norms.

17. Award of PhD Degree Prior to Gazette Notification of UGC Regulations 2016:

- 17.1 The candidates enrolled/registered for PhD programme on or after 11 July 2009 till the date of notification of UGC Regulations (Minimum Standards and Procedures for award of M.Phil./PhD Degrees), 2016 in Govt. of India Gazette shall be awarded PhD degree in relevant subject in accordance with UGC Regulations, 2009.
- 17.2 The candidates enrolled/registered for PhD degree on or after 05th May 2016 i.e. the Date of Notification of UGC Regulations (Minimum Standards and Procedures for award of M.Phil./PhD Degrees), 2016 in Govt. of India Gazette shall be awarded PhD degree in relevant subject in

accordance with the aforementioned provisions of this Ordinance 42 framed according to UGC Regulations, 2016.

18. Depository with INFLIBNET (Shodhganga):

- 18.1 Following the successful completion of the evaluation process and before the announcement of the award of the PhD degree, the university shall submit an electronic copy of the PhD thesis to the INFLIBNET (Shodhganga), for hosting the same on it so as to make it accessible to all institutions/colleges.

19. Central University Employees (Teaching & Non-Teaching):

- 19.1 Any employee (Teaching & Non-Teaching) of Central University of Himachal Pradesh, if wishes to enroll in Ph.D. programme in the relevant subject studied by him/her at PG level, has to seek prior permission from the University on the recommendation of concerned controlling officer. Such employees has to mention clearly in his/her application for seeking permission for admission in Ph.D. Programme that in what manner, his/her admission in Ph.D. programme vis-à-vis improvement of educational/professional qualification will contribute to his/her personal development, institutional development and make him/her skilled to perform his/her respective teaching/non-teaching duties and responsibilities in current/future portfolio. Such applicants shall be required to follow all the admission-related rules and formalities as framed under these guidelines in letter and spirit.

| Examination/ Type of Questions | End Term Examination | | Mid Term Examination | |
|--|--|--|---|---|
| | 120 Marks (4 Credit Course) | 60 Marks (2 Credit Course) | 40 Marks (4 Credit Course) | 20 Marks (2 Credit Course) |
| Objective Type Questions | 1 x 20 questions = 20 Marks | 1 x 10 questions = 10 Marks | 1 x 10 questions = 10 Marks | 1 x 5 questions = 5 Marks |
| Subjective Type (Short Answer / Long Answer / Essay Type) Questions | 8 questions x 5 marks (out of given 12 questions) = 40 Marks 4 questions x 15 marks (out of given 6 questions) = 60 Marks Total = 100 Marks | 4 questions x 5 marks (out of given 6 questions) = 20 Marks 4 questions x 7.5 marks (out of given 6 questions) = 30 Marks Total = 60 Marks | 2 questions x 5 marks (out of given 5 questions) = 10 Marks 2 questions x 10 marks (out of given 4 questions) = 20 Marks Total = 40 Marks | 2 questions x 2.5 marks (out of given 4 questions) = 5 Marks 2 questions x 5 marks (out of given 4 questions) = 10 Marks Total = 15 Marks |
| Grand Total Marks | 120 Marks | 60 Marks | 40 Marks | 20 Marks |



Central University of Himachal Pradesh

Dharamshala, District Kangra, H.P.

Scholarship/Fellowship Form for Research Degree Programmes

Month of Scholarship/Fellowship _____ Year _____

| | |
|--|-------------------------------------|
| 1. Name of RD Scholar _____ | 3. Programme of Study: Ph.D. |
| 2. Regn. No. _____ | 5. Department: _____ |
| 4. School: _____ | 7. Bank Account No. _____ |
| 6. Amount of Scholarship/Fellowship: _____ | 9. IFSC Code of the Branch: _____ |
| 8. Name of the Bank & Branch _____ | |

Note: It is Compulsory to fill up the entire above columns (1 to 9) properly and accurately.

I undertake that I have carried out/completed various Ph.D. research related works and other tasks given to me by the research supervisor/department during the month of _____. It is also undertaken and affirmed that I am not receiving any Scholarship/Fellowship from any source other than CUHP, Dharamshala.

Signature of the RD Scholar

Certificate

Certified that Mr./Ms. _____ Regn. No. _____ of Research Degree Programme (**Ph.D.**) in the School of _____. Department of _____ has carried out/completed his/her Ph.D. research related work to satisfactory extent during the month of _____. He/She may be paid scholarship for this month.

The Amount of Scholarship claim has been entered at Page No. _____ of the Fellowship Register.

The claim is verified for the payment of Rs. _____ and forwarded to Finance Officer for necessary action. It is also certified that the claimant is not receiving any Scholarship/Fellowship from any source other than CUHP, Dharamshala.

Supervisor/Teacher

The Scholarship/Fellowship payable to the claimant is verified & Sanctioned under Sr. No. 52 of the delegation of the financial powers vide Ordinance No. 36 of the University.

Countersigned by:

Head of the Department

Signature & Seal of the Dean of the School



हिमाचल प्रदेश केंद्रीय विश्वविद्यालय
Central University of Himachal Pradesh
 [Established under the Central Universities Act 2009]



शोधार्थियों से संबंधित विभिन्न फॉर्म
Various forms related to RD Scholars

| क्रम सं. | फॉर्म विवरण | शोधार्थियों के लिए फॉर्म संख्या |
|----------|---|---------------------------------|
| 1. | पीएचडी में प्रवेश हेतु आवेदन पत्र (पीएचडी प्रवेश परीक्षा उत्तीर्ण विद्यार्थियों हेतु) Application Form for admission to PhD (for PhD Entrance Exam passed students) | P1 |
| 2. | पीएचडी में पंजीकरण हेतु आवेदन पत्र Application Form for PhD Registration | P2 |
| 3. | पाठ्यक्रम कार्य पूर्ण करने संबंधी प्रमाण पत्र Certificate of Completion of Course Work | P3 |
| 4. | प्रगति रिपोर्ट जमा करने संबंधी प्रमाण पत्र Certificate of Submission of Progress Reports | P4 |
| 5. | शोधार्थी द्वारा अर्जित क्रेडिटों का विवरण Statement of Credits earned by Research Scholar | P5 |
| 6. | पीएच.डी. डिग्री प्रदान किए जाने के संदर्भ में क्रेडिट दावा प्रपत्र Form for claiming Credits for Award of PhD Degree | P6 |
| 7. | संगोष्ठी/सम्मेलन पत्र प्रस्तुत करने का प्रमाण पत्र Certificate of Presentation of Seminar/Conference Paper | P7 |
| 8. | शोध-पत्र प्रकाशन प्रमाण पत्र Certificate of Publication of Papers | P8 |
| 9. | पूर्व-प्रस्तुति सेमिनार पूर्ण करने संबंधी प्रमाण पत्र Certificate of Completion of Pre-Submission Seminar | P9 |
| 10. | मौलिकता का प्रमाण पत्र Certificate of Originality | P10 |
| 11. | साहित्यिक चोरी संबंधी रिपोर्ट प्रपत्र Plagiarism Report Form | P11 |
| 12. | स्व-प्रकाशित कार्य का अपवर्जन प्रमाण-पत्र Certificate for Exclusion of Self-Published Work | P12 |
| 13. | शोध प्रबंध में प्रकाशित कार्यों को शामिल करने के लिए सह-लेखकों का प्रमाण-पत्र Co-authors' Certificate for Inclusion of Published Works in Thesis | P13 |
| 14. | ग्रेड कार्ड और डिग्री के लिए रिकॉर्ड बनाए रखने का प्रारूप Format for maintaining Record for Grade Card & Degree | P14 |
| 15. | शोधगंगा पर शोध-प्रबंध अपलोड करने के लिए विद्यार्थी अनुमोदन प्रपत्र Student Approval Form for Uploading of Thesis on Shodhganga | P15 |
| 16. | अनापत्ति प्रमाण पत्र No Dues Certificate | P16 |
| 17. | पालन करने के लिए महत्वपूर्ण निर्देश / Important Instructions to be followed साहित्यिक चोरी की जाँच के समाधान के लिए दिशानिर्देश Guidelines for Reconciliation of Plagiarism Check | Annexure-I |
| 18. | शोध-प्रबंध (थीसिस) के पहले पृष्ठ का प्रारूप Format of Front Page of the Thesis | Annexure-II |
| 19. | पीएचडी अध्यादेश के अनुसार शोध के लिए वचनबद्धता का प्रारूप Format of the Undertaking for Research as per PhD Ordinance | Annexure-III |
| 20. | साहित्यिक चोरी के बिना मूल कार्य के लिए वचनबद्धता का प्रारूप Format of the Undertaking for Original Work without Plagiarism | Annexure-IV |



हिमाचल प्रदेश केंद्रीय विश्वविद्यालय
Central University of Himachal Pradesh

धर्मशाला, जिला कांगड़ा, हिमाचल प्रदेश-176215
दूरभाष: 01892-229330, फैक्स: 01892-229331
www.cuhimachal.ac.in

फॉर्म कोड

P-1

पीएच.डी. अध्ययन कार्यक्रम में प्रवेश हेतु आवेदन पत्र
Application for admission to PhD programme of study

केवल वही छात्र आवेदन कर सकते हैं, जिन्होंने पीएच.डी. प्रवेश परीक्षा पास कर ली है अथवा जेआरएफ(JRF) या अन्य कोई फेलोशिप धारक हैं/Only such students shall apply, who have passed the PhD Entrance Test or who are JRF or any other fellowship holder)

1. विभाग/केंद्र का नाम, जिसमें पीएचडी प्रोग्राम का आवेदन किया है / Name of the Department / Centre under which PhD Programme have been applied :

2. क्या आपने जेआरएफ/नेट/स्लेट/गेट/एमफिल/हि.प्र.के.वि.शो.पा.प. उत्तीर्ण किया है अथवा कोई अन्य फेलोशिप धारक हैं? / Whether qualified JRF/NET/SLET/GATE/ M.Phil./ HPKVSP or Any other Fellowship Holder :

3. पीएच.डी. प्रवेश परीक्षा का रोल नंबर / Roll No. of PhD Entrance Test :

4. पीएच.डी. प्रवेश परीक्षा में प्राप्त अंक / Marks obtained in the PhD Entrance Test :

यहां अद्यतन फोटो
चिपकाएं और हस्ताक्षर
करें ।
Affix latest
photograph and sign
across.

खंड क/ Section A

सामान्य विवरण/ General Information

(कृपया दो शब्दों के बीच स्थान दें /Please leave some space between two words)

1. अभ्यर्थी का नाम (स्पष्ट अक्षरों में)

Candidate's Name (in capital letters of English) _____

अभ्यर्थी का नाम (हिंदी में)

Candidate's Name (in Hindi) _____

2. जन्म तिथि

Date of Birth

तिथि/Date

माह/Month

वर्ष/Year

3. जन्म स्थान

Place of Birth

जिला/District

राज्य /State

देश/Country

4. पिता का नाम (स्पष्ट अक्षरों में)

Father's Name (in block letters) _____

पिता का नाम (हिंदी में)

Father's Name (in Hindi) _____

5. माता का नाम (स्पष्ट अक्षरों में)

Mother's Name (in block letters) _____

माता का नाम (हिंदी में)

Mother's Name (in Hindi) _____

6. राष्ट्रियता (भारतीय/एनआरआई/पीआईओ/विदेशी नागरिक-पासपोर्ट सं.)

Nationality (Indian / NRI / PIO / Foreign National – Mention Passport no.) _____

7. श्रेणी (सामान्य/एससी/एसटी/ओबीसी)

Category (General/SC/ST/OBC) _____

8. लिंग (पुरुष/स्त्री/ट्रांसजेंडर)

Sex (Male/Female/Transgender) _____

9. वैवाहिक स्थिति (विवाहित/अविवाहित)

Marital Status (Married/Unmarried) _____

10. पंथ /मजहब / Religion _____

11. आधार क्रमांक/ Aadhaar No. _____

12. पत्राचार के लिए पता (स्पष्ट अक्षरों में) / Address for Correspondence (in block letters)

जिला/District _____ राज्य/State _____ पिन/Pin _____

फोन एसटीडी कोड सहित/Phone with STD Code _____ मोब.नं./Mob. No. _____

मो.नं./Mobile No. _____ (Compulsory) (Preferably with WhatsApp)

ईमेल/Email: _____ (Compulsory)

13. स्थायी पता, यदि अलग है (स्पष्ट अक्षरों में) / Permanent Address if Different (in block letters)

जिला/District _____ राज्य/State _____ पिन/Pin _____

फोन एसटीडी कोड सहित/Phone with STD Code (_____) _____

मो.नं./Mobile No. _____ (Compulsory) (Preferably with WhatsApp)

ईमेल/Email: _____ (Compulsory)

14. यदि आपका चयन होता है, तो अपनी रुचि के अनुसार दो ऐसे विषय/अध्ययन के क्षेत्र (वरीयता के क्रम में) बताएं, जिनपर आप पीएचडी शोध कार्य करना चाहते/ चाहती हैं / Mention your two Areas of Interest (in order of preference) on which you wish to pursue your PhD research work (if selected)

(i) _____

(ii) _____

15. संलग्न कंसेप्ट नोट का शीर्षक / Title of Concept Note attached :

नोट: यह कंसेप्ट नोट भावी शोध क्षेत्र, जिस पर आप पीएचडी शोध कार्य करना चाहते/ चाहती हैं (यदि चयनित होते/ होती हैं), के विषय में होना चाहिए। यह कंसेप्ट नोट 300 से 500 शब्दों के बीच हो।

Note: Concept Note should be regarding prospective area of research on which you want to carry out PhD research work (if selected). Concept Note should be between 300 to 500 words.

खंड-ख / Section B
शैक्षणिक विवरण / Academic Record

(अंक एवं प्रमाण पत्रों की प्रतियां संलग्न करें/ Attach copies of mark sheets and certificates)

| उत्तीर्ण की गयी परीक्षा Exam Passed | बोर्ड / विश्वविद्यालय Board/ University | वर्ष जिसमें परीक्षा पास की Year of Passing | अंक / Marks | | अंक%/ % of Marks | डिवीज़न/ Division | विषय जिनमें परीक्षा पास की Subjects Studied |
|--|--|---|-----------------------------------|------------------------------|------------------------|----------------------|---|
| | | | प्राप्तांक / Obtained Marks | कुलांक / Maximum Marks | | | |
| मैट्रिक / सेकेंडरी (दसवीं) Matriculation / Secondary (10 th) | | | | | | | |
| हायर सेकेंडरी / इंटरमिडिएट(बारहवीं) Higher Secondary / Intermediate(10+2) | | | | | | | |
| स्नातक उपाधि(डिग्री का नाम देें) / Bachelor's Degree (Mention the name of degree) _____ | | | | | | | |
| स्नातकोत्तर उपाधि (डिग्री का नाम देें) / PG Degree (Mention the name of degree) _____ | | | | | | | |
| कोई अन्य परीक्षा / Any Other Examination | | | | | | | |

आवेदक के हस्ताक्षर/Signature of the Applicant

स्थान/Place: _____

दिनांक/Date: _____

नोट: कृपया सभी दस्तावेजों के साथ विधिवत् भरा हुआ और हस्ताक्षरित आवेदन फॉर्म व्यक्तिगत/ संबंधित विभाग के विभागाध्यक्ष / केंद्र के निदेशक को दस्ती/ डाक के माध्यम से (ऑनलाइन माध्यम से नहीं भेजे) दिए गए पत्तों पर निर्धारित तिथि तक अवश्य भेज / जमा करा दें ।

Note: Kindly send / submit the duly filled in and signed application form along with all the documents individually / to Head of the Department / Director of the Center by hand / through post (not by online mode) to the given addresses by the stipulated date.

नोट: विभिन्न विभागों/केंद्रों का डाक पता विश्वविद्यालय वेबसाइट से प्राप्त करें या विभागाध्यक्ष / केंद्र निदेशक से फ़ोन के माध्यम से प्राप्त करें ।



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फॉर्म कोड

P-2

विद्या वाचस्पति की उपाधि के लिए शोधार्थी के रूप में पंजीकरण के लिए आवेदन पत्र

Application Form for registration as a Research Scholar for the degree of Doctor of Philosophy

| | | | |
|---|--|---|--|
| 1. विभाग / Department : | | | |
| 2. स्कूल/ School: | | | |
| 3. नाम (बड़े अक्षरों में) / Name (In Capital Letters): | | | |
| 4. पिता का नाम / Father's Name: | | | |
| 5. माता का नाम / Mothers's Name: | | | |
| 6. जन्म तिथि / Date of Birth: | | 7. लिंग / Gender (पुरुष / महिला) / (M/F) | |
| 8. श्रेणी: अनु.जा./अनु.ज.जाति/अ.पि.व./सामान्य/ईडब्ल्यूएस/ Category: SC/ST/OBC/General/EWS : | | | |
| 9. मत/पंथ / Religion: | | 10. राष्ट्रियता / Nationality: | |
| 11. स्थायी पता / Permanent Address: | | | |
| 12. पत्राचार पता / Correspondence Address: | | | |
| 13. ईमेल / Email : | | | |
| 14. मोबाइल / दूरभाष संख्या / Mob. / Tel. : | | 1. 2. | |
| 15. मास्टर डिग्री के बारे में विवरण (कृपया यह भी बताएं कि क्या यह MA/M.Sc./M.Com./M.Ed./M.Phil., आदि है) / Particulars about Master's Degree (Please also state whether it is M.A./M.Sc./M.Com./M.Ed./M.Phil., etc) | | | |
| (i) वर्ष / Year: | | (ii) विश्वविद्यालय / University : | |
| (iii) डिवीज़न/Division: | | (iv) प्राप्त अंकों का प्रतिशत / Percentage of Marks obtained: | |
| (v) विषय / Subject: | | (vi) पंजीकरण संख्या और रोल नंबर / Registration Number & Roll No.: | |
| (vii) प्रवास संख्या (प्रवासन की मूल प्रति संलग्न करें) / Migration No. (Enclose original copy of Migration): | | | |
| 16. उत्तीर्ण परीक्षा: (नेट/स्लेट/जेआरएफ/एम.फिल.)/ Exam Passed: (NET/SLET/JRF/M.Phil.): (प्रमाण पत्र की प्रति संलग्न करें / Enclose copy of Certificate) | | | |
| 17. पीएचडी प्रवेश परीक्षा में अंक/ Marks in PhD Entrance Test: | | प्राप्त कुल समग्र अंक / Total Composite Score Obtained: | |
| 18. शुल्क / Fees : | | ऑनलाइन जमा रसीद संख्या / Remitted Online vide Receipt No. : | |
| दिनांक/Date : | | बैंक विवरण / Bank Details: | |
| 19. क्या कोई फेलोशिप/छात्रवृत्ति प्राप्त हो रही है? / Whether receiving any Fellowship/Scholarship? : यदि हाँ, तो फेलोशिप/छात्रवृत्ति प्रदान करने वाली एजेंसी / If yes, agency providing Fellowship/Scholarship: | | | |
| 20. कार्यरत हैं या नहीं? / Whether employed? : यदि हाँ, तो संस्था/संगठन का नाम, पता और दूरभाष / If yes, name, address & Telephone of the Institution/Organisation: | | | |
| धारित पद / Post Held: | | नियमित/अस्थायी / Regular/Temporary: | |
| नियोक्ता से अनापत्ति प्रमाण पत्र यदि लागू हो तो संलग्न किया जाए / NOC from the employer be enclosed if applicable. | | | |
| 21. पीएचडी पंजीकरण संख्या (विश्वविद्यालय द्वारा आवंटित किया जाना है) / Ph.D Registration No. (To be allotted by the University) : | | | |
| दिनांक / Date : | | (आवेदक के हस्ताक्षर / Signature of the Applicant) | |
| आवंटित पर्यवेक्षक | | आवंटित सह-पर्यवेक्षक | |
| हस्ताक्षर: | | हस्ताक्षर: | |
| नाम : | | नाम : | |
| पदनाम : | | पदनाम : | |
| दिनांक / Date : | | विभागाध्यक्ष/केंद्र निदेशक के हस्ताक्षर Signature of the HoD/Centre Director | |



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फॉर्म कोड

P-3

पाठ्यक्रम कार्य पूर्ण करने संबंधी प्रमाण पत्र
CERTIFICATE OF COMPLETION OF COURSE WORK

यह प्रमाणित किया जाता है कि _____ पीएचडी अध्ययन कार्यक्रम के/की शोधार्थी _____ नामांकन संख्या _____ ने निम्नलिखित पाठ्यक्रम कार्य पूर्ण कर लिया है, जिससे कार्यक्रम की आवश्यकताएँ पूरी होती हैं / This is to certify that _____ pursuing PhD Programme in _____ with Enrolment Number _____ has completed the **following Course Work**, thereby fulfilling the Programme requirements:

| क्रम सं. Sl. No. | पाठ्यक्रम का शीर्षक Course Title | पाठ्यक्रम क्रेडिट Course Credits |
|---------------------|-------------------------------------|-------------------------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |

दिनांक/
Date :

शोध पर्यवेक्षक
Research Supervisor

विभागाध्यक्ष/केंद्र निदेशक
HoD/Centre Director

शोध निदेशक / Director Research



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फॉर्म कोड

P-4

प्रगति रिपोर्ट जमा करने संबंधी प्रमाण पत्र
CERTIFICATE OF SUBMISSION OF PROGRESS REPORTS

यह प्रमाणित किया जाता है कि _____ पीएचडी अध्ययन कार्यक्रम के/की
शोधार्थी _____ नामांकन संख्या _____ ने अपनी प्रगति रिपोर्ट जमा कर
दी हैं, जिससे कार्यक्रम की आवश्यकताएँ पूरी होती हैं / This is to certify that _____
pursuing PhD Programme in _____ with Enrolment Number
_____ has submitted the Progress Report as detailed below, thereby
fulfilling the Programme requirements:

| क्रम सं. Sl. No. | रिपोर्ट की अवधि एवं जमा करने की तिथि Period of the Report & Date of Submission | डीआरसी द्वारा अनुमोदन की तिथि / Date of approval by the DRC |
|---------------------|---|---|
| 1. | | |
| 2. | | |
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| 4. | | |
| 5. | | |
| 6. | | |
| 7. | | |
| 8. | | |
| 9. | | |
| 10. | | |

दिनांक/
Date :

शोध पर्यवेक्षक
Research Supervisor

विभागाध्यक्ष/केंद्र निदेशक
HoD/Centre Director

शोध निदेशक
Director Research



हिमाचल प्रदेश केंद्रीय विश्वविद्यालय Central University of Himachal Pradesh

फॉर्म कोड

P-5

शोधार्थी द्वारा अर्जित क्रेडिटों का विवरण /Statement of Credits earned by Research Scholar

(मूल्यांकन के लिए शोध-निबंध / शोध-प्रबंध के साथ परीक्षा नियंत्रक कार्यालय को जमा कराया जाए /
To be submitted to Controller of Examination office along with Dissertation/Thesis for evaluation)

- अध्ययन कार्यक्रम: एमफिल/ विद्या वाचस्पति/ Programme of Study - M. Phil/ PhD : _____
- प्रयोज्य यूजीसी विनियम/ Applicable UGC Regulation: _____
- अध्ययन कार्यक्रम की अवधि /Duration of Programme of Study: से/From _____ तक/to _____
- सेमेस्टर / Semester: _____
- शोधार्थी का नाम/ Name of Research Scholar: _____
- विभाग / Department: _____
- शोध सुपरवाइजर का नाम/Name of the Supervisor: _____
- पंजीकरण संख्या / Registration Number: _____
- स्कूल / School: _____
- शोध सुपरवाइजर का नाम / Name of the Co- Supervisor: _____

| क्रम. सं / Sr. No. | विवरण (यथा प्रयोज्य) / Particulars (As Applicable) | पूर्ण/अपूर्ण / Completed / Incomplete | क्रेडिट Credits Assigned |
|-----------------------|---|---|--------------------------------|
| 1. | पाठ्यक्रम/Course Work | | 18-22 |
| 2. | विभाग / स्कूल द्वारा सौंपे गए शैक्षणिक / परीक्षा / सह-शैक्षणिक / प्रशासनिक कार्यों में प्रतिभागिता/ Participation in Academic / Examination / Co-Academic / Administrative Tasks assigned by Department / School | | 10 |
| 3. | शोध पत्रों का प्रकाशन (कम से कम दो राष्ट्रीय/अंतर्राष्ट्रीय समीक्षित पीयर रिव्यूड में) Publication of Research Papers (Atleast two in Peer Reviewed National/International) | | 10 |
| 4. | कम से कम दो राष्ट्रीय / अंतरराष्ट्रीय सेमिनार / सम्मेलन में प्रस्तुतीकरण / Presentations in atleast two National / International Seminars/Conferences/ Workshop | | 10 |
| 5. | विभागीय शोध समिति (विशोस) के समक्ष सिनोप्सिस पूर्व प्रस्तुतीकरण तथा पीएचडी पूर्व शोध प्रबंध प्रस्तुतीकरण / Pre Synopsis Submission and Pre-PhD Thesis Submission before DRC | | 10 |
| 6. | शोध प्रबंध /Thesis | | 60 |

शोधार्थी के नाम/ दिनांक सहित हस्ताक्षर/Signature of the Research Scholar with name & date

यह प्रमाणित किया जाता है कि/This is to certify that:

- शोधार्थी का शोध कार्य मूल शोध है और साहित्यिक चोरी नहीं की गई है।
The Research Work of Research Scholar is original and has not been plagiarized.
- शोधार्थी ने यूजीसी दिशानिर्देशों के अनुसार पीएचडी डिग्री को पूरा करने के न्यूनतम समय व्यतीत किया है।
The RD Scholar has devoted minimum time required for completing PhD Degree as per UGC Guidelines.
- शोधार्थी ने हि.प्र.के.वि. नियमानुसार शुल्क का भुगतान कर दिया है और मूल पंजीकरण पत्र तथा 'कोई बकाया नहीं' प्रपत्र जमा करवा दिया है।
The RD Scholar has paid the fees as per CUHP norms and has submitted the original Registration Card & No Dues Form.
- शोधार्थी ने फॉर्म के साथ प्रकाशन, सेमिनारों/सम्मेलनों में प्रस्तुतीकरण विवरण सहित सभी अपेक्षित दस्तावेजों की प्रति(या) संलग्न की है / The Research Scholar has annexed the copy (ies) of all documents including details of Publication, Presentations in seminars/conferences etc. required with the form.

नाम सहित शोध सुपरवाइजर के हस्ताक्षर/
Signature of the Supervisor with name

नाम सहित सह-शोध सुपरवाइजर (यदि कोई हो) के हस्ताक्षर/
Signature of the Co-Supervisor with name/if any

विभागाध्यक्ष/Head of the Department

परीक्षा नियंत्रक /Controller of Examination



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फॉर्म कोड

P-6

पीएच. डी. डिग्री प्रदान किए जाने के संदर्भ में क्रेडिट दावा प्रपत्र

Form for claiming Credits for Award of PhD Degree

(शोधार्थी द्वारा फॉर्म P-5 के साथ जमा कराया जाए / To be submitted along with Form P-5 by the RD Scholar)

- अध्ययन कार्यक्रम: विद्या वाचस्पति/ Programme of Study – PhD : _____
- प्रयोज्य यूजीसी विनियम/ Applicable UGC Regulation: _____
- अध्ययन कार्यक्रम की अवधि /Duration of Programme of Study: से/From _____ तक/to _____
- शोधार्थी का नाम/Name of the Research Scholar: _____
- पंजीकरण संख्या / Registration Number: _____
- विभाग / Department: _____
- स्कूल / School: _____
- शोध सुपरवाइजर का नाम/Name of the Supervisor: _____
- शोध सुपरवाइजर का नाम/Name of the Co-Supervisor/If any: _____

| क्रम. / Sr. | विवरण (यथा प्रयोज्य) /Particulars(As Applicable) | संख्या / Number | दावा किए गए क्रेडिट /Credits Claimed | अनुमोदित क्रेडिट /Credit Approved |
|-------------|---|-----------------|--------------------------------------|-----------------------------------|
| 1. | साधारण जन संबंधी प्रकाशित लेख (प्रत्येक के लिए 2 क्रेडिट) / Popular Article published (2 Credit for each) | | | |
| 2. | राष्ट्रीय / अंतरराष्ट्रीय सेमिनारों/सम्मेलनों/कार्यशालाओं में प्रस्तुत शोध पत्र (प्रत्येक के लिए 5 क्रेडिट) / Paper presented in National / International seminars/conferences/workshops (5 Credits for each) | | | |
| 3. | समकक्षी द्वारा समीक्षित (पीअर रिव्यूड) राष्ट्रीय जर्नल में प्रकाशित शोध पत्र (प्रत्येक के लिए 5 क्रेडिट)/ Paper published in peer reviewed national journals (5 Credits for each) | | | |
| 4. | समकक्षी द्वारा समीक्षित (पीअर रिव्यूड) अंतरराष्ट्रीय जर्नल में प्रकाशित शोध पत्र (प्रत्येक के लिए 10 क्रेडिट)/ Paper published in peer reviewed international journals (10 Credits for each) | | | |
| 5. | प्रस्तुतीकरण पूर्व सेमिनार / Pre-Submission Seminar | | | |
| 6. | विभाग / स्कूल द्वारा सौंपे गए शैक्षणिक / परीक्षा / सह-शैक्षणिक / प्रशासनिक कार्यों में प्रतिभागिता / Participation in Academic / Examination / Co-Academic/Administrative Tasks assigned by Department / School | | | |
| 7. | पीएचडी पाठ्यक्रम कार्य / PhD Course Work | | | |
| 8. | शोध प्रबंध / PhD Thesis | | | |

नोट :

- शोधार्थी द्वारा दावा किए गए इन क्रेडिटों के संदर्भ में साक्ष्य के लिए दस्तावेज संलग्न किए जाएं /Credits claimed by the Research Scholar are to be supported by documentary evidence.

शोधार्थी के नाम/ दिनांक सहित हस्ताक्षर/Signature of the Research Scholar with name & date

नाम सहित शोध सुपरवाइजर के हस्ताक्षर/
Signature of the Supervisor with name

नाम सहित सह-शोध सुपरवाइजर के हस्ताक्षर/
Signature of the Co-Supervisor with name/If any

विभागाध्यक्ष/केंद्र निदेशक/HoD/Centre Director



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Central University of Himachal Pradesh

[Established under the Central Universities Act 2009]

फॉर्म कोड

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संगोष्ठी/सम्मेलन पत्र प्रस्तुत करने का प्रमाण पत्र

CERTIFICATE OF PRESENTATION OF SEMINAR/CONFERENCE PAPER

यह प्रमाणित किया जाता है कि _____ पीएचडी अध्ययन कार्यक्रम के/की शोधार्थी _____ नामांकन संख्या _____ ने उल्लिखित फोरम में निम्नलिखित दो प्रस्तुतियाँ दी हैं, जिससे कार्यक्रम की आवश्यकताएँ पूरी होती हैं।

This is to certify that _____ pursuing PhD Programme in _____ with Enrolment Number _____ has made the **following two Presentations** in the Forums mentioned, thereby fulfilling the Programme requirements:

| क्रम संख्या Sl. No. | प्रस्तुतीकरण का शीर्षक Title of the presentation | राष्ट्रीय / अंतरराष्ट्रीय सेमिनार/ सम्मेलन National / International Seminars/Conferences/ Workshop | आयोजक Organiser | तिथि Date |
|------------------------|---|--|--------------------|--------------|
| 1. | | | | |
| 2. | | | | |

दिनांक/
Date :

शोध पर्यवेक्षक
Research Supervisor

विभागाध्यक्ष/केंद्र निदेशक
HoD/Centre Director

शोध निदेशक/Director Research



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फॉर्म कोड

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शोध-पत्र प्रकाशन प्रमाण पत्र
CERTIFICATE OF PUBLICATION OF PAPERS

यह प्रमाणित किया जाता है कि _____ पीएचडी अध्ययन कार्यक्रम के/की शोधार्थी _____ नामांकन संख्या _____ ने उल्लिखित पत्रिकाओं में निम्नलिखित प्रकाशित किए हैं, जिससे कार्यक्रम की आवश्यकताएँ पूरी होती हैं।

This is to certify that _____ pursuing PhD Programme in _____ with Enrolment Number _____ has the **following Publications** in the Journals mentioned, thereby fulfilling the Programme requirements:

| क्रम संख्या Sl. No. | शोध-पत्र का शीर्षक Title of the Paper | प्रकाशन विवरण Publication Details |
|------------------------|--|--------------------------------------|
| 1. | | |
| 2. | | |
| 3. | | |
| 4. | | |

दिनांक/
Date :

शोध पर्यवेक्षक
Research Supervisor

विभागाध्यक्ष/केंद्र निदेशक
HoD/Centre Director

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फॉर्म कोड

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पूर्व-प्रस्तुति सेमिनार पूर्ण करने संबंधी संबंधी प्रमाण पत्र
CERTIFICATE OF COMPLETION OF PRE-SUBMISSION SEMINAR

यह प्रमाणित किया जाता है कि _____ पीएचडी अध्ययन कार्यक्रम के/की
शोधार्थी _____ नामांकन संख्या _____ ने _____ को आयोजित
पूर्व-प्रस्तुति सेमिनार में अपनी प्रस्तुति दे दी है, जिससे कार्यक्रम की आवश्यकताएँ पूरी होती हैं।

This is to certify that _____ pursuing PhD Programme in _____
with Enrolment Number _____ has made his/her **presentation in**
the pre-submission seminar held on _____, thereby fulfilling the Programme
requirements:

दिनांक/
Date :

शोध पर्यवेक्षक
Research Supervisor

विभागाध्यक्ष/केंद्र निदेशक
HoD/Centre Director

शोध निदेशक
Director Research

परीक्षा नियंत्रक
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फॉर्म कोड

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मौलिकता का प्रमाण पत्र / Certificate of Originality

मैं _____ नामांकन संख्या _____ एतद्वारा घोषणा करता/करती हूँ कि
इस शोध-प्रबंध में शामिल शोध शीर्षक " _____

_____ " हिमाचल प्रदेश
केंद्रीय विश्वविद्यालय, धर्मशाला से _____ में विद्या वाचस्पति उपाधि के लिए
_____ विभाग/केंद्र, हिमाचल प्रदेश केंद्रीय विश्वविद्यालय के पर्यवेक्षक
_____ के निर्देश में मेरे द्वारा किया गया एक मूल शोध कार्य है।

I, _____ Enrolment Number _____

hereby declare that the research embodied in this thesis entitled " _____

_____ " is an original
research work done by me under the supervision of _____
Department/Centre _____, CUHP for the award
of PhD in _____ from the Central University of Himachal Pradesh,
Dharamshala.

मैं एतद्वारा यह भी घोषणा करता/करती हूँ कि इस शोध-प्रबंध का कोई भी अंश, पूर्णतः अथवा आंशिक रूप से, इस या किसी अन्य
विश्वविद्यालय/संस्थान में किसी शोध उपाधि/डिप्लोमा के पुरस्कार के लिए प्रस्तुत नहीं किया गया है।

I hereby also declare that no part of this thesis, fully or partly, has been submitted to this or at any other
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पीएचडी विद्यार्थी के हस्ताक्षर
Signature of PhD student

स्थान/Place:
दिनांक/Date:

(शोध पर्यवेक्षक के प्रति हस्ताक्षर और नाम)
(Name and Counter Signature of Research Supervisor)

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फॉर्म कोड

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| नामांकन संख्या / Enrolment Number | |
| शोध पर्यवेक्षक / Research Supervisor(s) | |
| विभाग / केंद्र / Department / Centre | |
| शोध-प्रबंध शीर्षक / Thesis Title: | |
| पृष्ठों की कुल संख्या / Total No. of pages | |

रिपोर्ट / Report:

यह सूचित करना है कि उपर्युक्त शोध-प्रबंध को समानता का पता लगाने के लिए स्कैन किया गया था। प्रक्रिया और परिणाम नीचे दिया गया है / This is to report that the above thesis was scanned for similarity detection. Process and outcome is given below:

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|-------------------------------------|---|--|
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| समानता सूचकांक / Similarity Index: | | दिनांक / Date: |

पूरी रिपोर्ट पर्यवेक्षक द्वारा समीक्षा के लिए प्रस्तुत की जाती है।

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अधोहस्ताक्षरी द्वारा उपर्युक्त शोध-प्रबंध की पूरी रिपोर्ट की समीक्षा नीचे की गई है। (चेक बॉक्स) / The complete report of the above thesis has been reviewed below by the undersigned. (Check Box)

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| | 2. |

संस्तुति / Recommendation: शोध-प्रबंध प्रस्तुत करने और डिग्री प्रदान करने के लिए विचार किया जा सकता है। (प्रासंगिक दस्तावेज संलग्न) / The thesis may be considered for submission and the award of degree. (Relevant documents attached).

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दिनांक / Date:

(शोध पर्यवेक्षक के हस्ताक्षर और नाम) / (Name and Signature of Research Supervisor)
दिनांक / Date:



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फॉर्म कोड

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The content of the chapters in the thesis submitted by Mr. /Ms. _____ have been published in:

1.

2.

3.

4.

इस प्रकाशित कार्य को शोध प्रबंध में शामिल किया गया है और किसी भी विश्वविद्यालय/संस्थान को किसी भी डिग्री के लिए प्रस्तुत नहीं किया गया है / This published work has been included in the thesis and has not been submitted for any degree to any University/Institute.

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Signature of PhD student)
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दिनांक/Date:

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विभाग/केंद्र / Department / Centre : _____

शोध प्रबंध में प्रकाशित कार्यों को शामिल करने के लिए सह-लेखकों का प्रमाण-पत्र

Co-authors' Certificate for Inclusion of Published Works in Thesis

हमने निम्नलिखित लेख संयुक्त रूप से प्रकाशित किए हैं / We have published the following articles jointly:

| लेख का नाम / Name of Article | विवरण के साथ जर्नल/पुस्तक का नाम (आईएसएसएन नंबर, आदि) / Name of Journal / Book with the details (ISSN No. Etc.) |
|------------------------------|---|
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| 2. | |
| 3. | |

हम श्री/सुश्री _____ को अपने पीएचडी के शोध प्रबंध के लिए इन लेखों का उपयोग करने के लिए अपनी सहमति देते हैं / We give our consent to Mr./Ms. _____ to make use of these articles for his / her PhD thesis.

हम प्रमाणित करते हैं कि उपर्युक्त लेखों का उपयोग हममें से किसी ने भी किसी विश्वविद्यालय/संस्थान में डिग्री/डिप्लोमा प्रदान करने के लिए नहीं किया है / We certify that the above articles have not been used by any of us for the award of any Degree/Diploma in any University/ Institution.

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Signature of PhD student)

नाम / Name:

नामांकन सं. / Enrolment No.

दिनांक/Date:



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फॉर्म कोड

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ग्रेड कार्ड एवं डिग्री रिकॉर्ड के लिए फॉर्मेट

Format for maintaining Record for Grade Card & Degree

| | |
|--|----------------------|
| अध्ययन कार्यक्रम / Programme of Study : | Recent Photograph |
| विभाग का नाम / Name of Department : | |
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| सेमेस्टर / Semester : | |
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| विद्यार्थी का नाम (हिंदी में / In Hindi) : | |
| Name of Student (अंग्रेजी में / In English) : | |
| पिता का नाम (हिंदी में / In Hindi) : | |
| Father's Name (अंग्रेजी में / In English) : | |
| माता का नाम (हिंदी में / In Hindi) : | |
| Mother's Name (अंग्रेजी में / In English) : | |
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| जन्म की तारीख / Date of Birth : | |
| वर्ग / Category : | |
| धर्म / Religion : | |
| लिंग / Gender : | |
| आधार न. / Aadhaar Number : | |
| स्थायी पता / Permanent Address : | |
| पत्र व्यवहार हेतु पता / Address for Correspondence : | |
| मोबाइल / Mobile : | |
| ई-मेल / Email : | |

छात्र / छात्रा के हस्ताक्षर
Signature of the Student

विभागाध्यक्ष / केंद्र निदेशक
HoD / Centre Director



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फॉर्म कोड

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शोधगंगा पर शोध-प्रबंध अपलोड करने के लिए विद्यार्थी अनुमोदन प्रपत्र

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| नामांकन तिथि / Enrolment Date (DD/MM/YYYY) | |
| स्कूल / School | |
| विभाग / केंद्र / Department / Centre | |
| शोध पर्यवेक्षक / Research Supervisor(s) | |
| शोध-प्रबंध शीर्षक / Thesis Title | |
| शोध जमा कराने की तिथि / Date of Submission | |
| संकेत शब्द (पांच तक) / Key words (up to five) | 1. 2. 3. 4. 5. |
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| मौखिकी परीक्षा की तिथि / Date of Viva-Voce | |
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| उपाधि प्रदान करने का वर्ष / Year of Award | |

वचनबद्धता / Undertaking

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 - मैं एतद्वारा प्रमाणित करता/करती हूँ कि शोध-प्रबंध की सामग्री कॉपीराइट अधिनियम के तहत किसी भी प्रावधान का उल्लंघन नहीं करती है।
 - मैं समझता/समझती हूँ कि मेरे पास शोध-प्रबंध का कॉपीराइट है। मैं भविष्य के कार्यों (जैसे लेख या किताबों) में इस शोध-प्रबंध के सभी या कुछ हिस्से का उपयोग करने का अधिकार भी रखता/रखती हूँ।
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दिनांक/Date:

(शोध पर्यवेक्षक के प्रति हस्ताक्षर और नाम)
(Name and Counter Signature of Research Supervisor)

दिनांक/Date:



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फॉर्म कोड

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अनापत्ति प्रमाण पत्र / No Dues Certificate

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| विभागाध्यक्ष / केंद्र निदेशक HoD / Centre Director | | |
| परीक्षा नियंत्रक Controller of Examination | | |
| अधिष्ठाता छात्र कल्याण Dean of Students' Welfare | | |
| यदि लागू हों / If Applicable: | | |
| आवासाध्यक्ष (प्रोवोस्ट) Provost | | |
| निदेशक खेल Director Sports | | |
| निदेशक, कंप्यूटर केंद्र / लैब Director, Computer Centre / Lab | | |



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अनुलग्नक / Annexure-I

पालन करने के लिए महत्वपूर्ण निर्देश / Important Instructions to be followed

पीएचडी विद्यार्थी को सीडी में निम्नलिखित जमा करना होगा:

क. एमएस-वर्ड में एक ही फाइल में पूरा शोध प्रबंध

ख. पीडीएफ प्रारूप में एक फाइल में पूरा शोध प्रबंध

ग. शोध प्रबंध को अलग-अलग अध्यायों, प्रारंभिक पृष्ठों और संदर्भों में पीडीएफ प्रारूप में अलग – अलग

1. प्रारंभिक पृष्ठ (शीर्षक, घोषणा, पावती, सामग्री, तालिकाओं की सूची, आदि)
2. सार (यदि कोई हो)
3. अध्याय - 1
4. अध्याय - 2
5. अन्य अध्याय (प्रत्येक अध्याय अलग फाइल में)
6. संदर्भ
7. प्रकाशनों की सूची
8. कोई अन्य - परिशिष्ट, आदि।

सीडी पर विद्यार्थी द्वारा हस्ताक्षर और शोध पर्यवेक्षक द्वारा प्रतिहस्ताक्षरित किया जाएगा।

The PhD student has to submit the following in a CD:

- A. Complete thesis in a single file in MS-Word**
- B. Complete thesis in a single file in PDF format**
- C. The thesis segregated into the separate chapters, introductory pages and references, in PDF format**
 1. Preliminary Pages (title, declaration, acknowledgements, contents, list of tables, etc.)
 2. Abstract (If any)
 3. Chapter – 1
 4. Chapter – 2
 5. Other chapters (each chapter in separate file)
 6. References
 7. List of Publications
 8. Any other – appendices, etc.

The CD shall be signed by the student and counter-signed by the research supervisor.

साहित्यिक चोरी की जाँच के समाधान के लिए दिशानिर्देश
Guidelines for Reconciliation of Plagiarism Check

पीएचडी शोध प्रबंध जमा करने के समय उरकुंड (URKUND) और विश्वविद्यालय द्वारा प्रदान किए गए सॉफ्टवेयर द्वारा उत्पन्न साहित्यिक चोरी रिपोर्ट जमा करने के लिए निम्नलिखित दिशानिर्देशों का पालन किया जाएगा:

1. पीएचडी शोध प्रबंध को URKUND और विश्वविद्यालय द्वारा प्रदान किए गए सॉफ्टवेयर द्वारा साहित्यिक चोरी की जाँच से गुजरना होगा।
2. चेक करते समय अपवर्जन निम्नलिखित तक सीमित होना चाहिए:
 - I. उल्लेख (कोट्स)
 - II. ग्रन्थसूची
 - III. वाक्यांशों
 - IV. 14 शब्दों तक के छोटे मिलान
 - V. गणितीय सूत्र
 - VI. संस्थानों, विभागों, आदि का नाम
 - VII. पर्यवेक्षक या अभ्यर्थी द्वारा स्वतंत्र रूप से या संयुक्त रूप से लिखे गए लेख / शोध पत्र।
3. स्व-साहित्यिक चोरी या ऐसे मामलों के संबंध में जहां विद्यार्थी के प्रकाशित कार्य के जांच में साहित्यिक चोरी के रूप में दिखाया गया है, एक प्रमाण पत्र (अनुलग्नक IV के अनुसार स्व-प्रकाशित कार्य के अपवर्जन के लिए प्रमाण पत्र) पर्यवेक्षक द्वारा जारी किया जाना है जिसमें उन लेखों को निर्दिष्ट और संलग्न किया गया है, जिन्हें शोध प्रबंध / शोध प्रबंध कार्य से विद्यार्थी द्वारा प्रकाशित किया गया है। पर्यवेक्षक द्वारा अनुलग्नक - IV में प्रस्तुत लेखों की सूची साहित्यिक चोरी की जांच से बाहर रखने के लिए पुस्तकालय को संदर्भित की जाएगी। पीएचडी विद्यार्थी/शोध पर्यवेक्षक का कोई अन्य लेख साहित्यिक चोरी की जांच से बाहर नहीं किया जाएगा।
4. पुस्तकालय साहित्यिक चोरी की रिपोर्ट निर्दिष्ट प्रारूप में जारी करेगा (अनुलग्नक III)। साहित्यिक चोरी रिपोर्ट पर पीएचडी विद्यार्थी और शोध पर्यवेक्षक द्वारा हस्ताक्षर किए जाएंगे। यह प्रमाण पत्र शोध-निबंध/शोध-प्रबंध, सार(सिर्नॉप्सिस), सारांश, सीडी के साथ परीक्षा नियंत्रक कार्यालय में जमा करना होगा।

The following guidelines shall be adhered to for submitting the Plagiarism Report generated by URKUND and University provided software at the time of PhD thesis submission:

1. The PhD thesis must undergo a Plagiarism Check by URKUND and University provided software
2. The exclusion at the time of performing the check should be limited to the following:
 - i. Quotes
 - ii. Bibliography
 - iii. Phrases
 - iv. Small matches up to 14 words
 - v. Mathematical Formula
 - vi. Name of Institutions, Departments, etc.
 - vii. The articles/ research papers written by the supervisor or the candidate independently or jointly.
3. Regarding Self Plagiarism or cases where published work of the student is shown as Plagiarism in the check, a certificate (Certificate for Exclusion of Self Published Work as per Annexure IV) has to be issued by the Supervisor specifying and attaching the articles that have been published by the student from the thesis work. The list of articles submitted in Annexure – IV shall be referred to the library by the supervisor for exclusion from plagiarism check. No other article of the PhD student/ research supervisor shall be excluded from plagiarism check.
4. The library shall issue the Plagiarism Report in specified format (Annexure III). The Plagiarism Report shall be signed by the M Phil/ PhD student and the Research Supervisor. This certificate has to be submitted to the Controller of Examination office along with the thesis, Synopsis, Summary, CDs.



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अनुलग्नक / Annexure-II

विद्या वाचस्पति

उपाधि की आंशिक पूर्ति में
हिमाचल प्रदेश केंद्रीय विश्वविद्यालय
को जमा किया गया शोध-प्रबंध

(शोध-प्रबंध का शीर्षक / Title of the Thesis)

A thesis

Submitted to the Central University of Himachal Pradesh

in Partial Fulfilment of the Degree of

DOCTOR OF PHILOSOPHY

स्कूल / In the School of -----

विभाग / In the Department of -----



पर्यवेक्षक / Under the Supervision (s) of _____ by

शोधार्थी का नाम / Name of the Research Scholar

पंजीकरण / Registration No.: -----

माह एवं वर्ष / Month & Year : -----

हिमाचल प्रदेश केंद्रीय विश्वविद्यालय
धर्मशाला, हिमाचल प्रदेश, भारत

**CENTRAL UNIVERSITY OF HIMACHAL PRADESH
DHARAMSHALA, HIMACHAL PRADESH, INDIA**



हिमाचल प्रदेश केंद्रीय विश्वविद्यालय
Central University of Himachal Pradesh

[Established under the Central Universities Act 2009]

अनुलग्नक / Annexure-III

दिनांक / Dated:

विभाग / केंद्र / Department / Centre : _____

स्कूल / School : _____

मैं यह प्रमाणित करता/करती हूँ कि मैंने वर्तमान शोध-पत्र में सन्निहित शोध विश्वविद्यालय के पीएचडी अध्यादेश के प्रावधानों के अनुसार किया है। मैं अपनी सर्वोत्तम जानकारी के अनुसार घोषणा करता/करती हूँ कि इस शोध-प्रबंध का कोई भी भाग पहले किसी विश्वविद्यालय/संस्थान की शोध उपाधि या डिप्लोमा प्रदान करने के लिए प्रस्तुत नहीं किया गया था।

This to certify that I have carried out the Research embodied in the present thesis in accordance with the provisions in PhD ordinances of the University. I declare to the best of my knowledge that no part of this thesis was earlier submitted for the award of research degree or diploma of any university/institution.

शोधार्थी के हस्ताक्षर

SIGNATURE OF THE RESEARCH SCHOLAR

नाम / Name :

पंजीकरण सं. / Registration No. :

पंजीकरण तिथि / Date of Registration :

पर्यवेक्षक के हस्ताक्षर

SIGNATURE OF THE SUPERVISOR

नाम / Name :

कार्यालयी पता / Official Address:

विभागाध्यक्ष / केंद्र निदेशक के हस्ताक्षर

SIGNATURE OF THE HEAD OF THE DEPARTMENT/ CENTRE DIRECTOR

नाम / Name :

दिनांक / Date :



हिमाचल प्रदेश केंद्रीय विश्वविद्यालय
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अनुलग्नक / Annexure-IV

दिनांक / Dated: _____

विभाग / केंद्र / Department / Centre : _____

स्कूल / School : _____

एतद्वारा मैं यह वचन देता/देती हूँ कि वर्तमान शोध-
पत्र में सन्निहित शोध मैंने किया है और यह कि किया गया कार्य बिना साहित्यिक चोरी के मूल कार्य है। यदि ऐसा कोई
साक्ष्य पाया जाता है, तो मेरी उपाधि विश्वविद्यालय द्वारा रद्द की जा सकती है।

I, hereby undertake that I have carried out the
research embodied in the present thesis and that the work is original and without plagiarism. In
case any such evidence is found, my degree shall be liable to be cancelled by the university.

शोधार्थी के हस्ताक्षर

SIGNATURE OF THE RESEARCH SCHOLAR

नाम / Name :

पंजीकरण सं. / Registration No. :

पंजीकरण तिथि / Date of Registration :

यह प्रमाणित किया जाता है कि उपर्युक्त दावा मेरी सर्वोत्तम जानकारी के अनुसार सही है।

This is to certify that the above claim is correct to the best of my knowledge.

पर्यवेक्षक के हस्ताक्षर

SIGNATURE OF THE SUPERVISOR

नाम / Name :

कार्यालयी पता / Official Address:

विभागाध्यक्ष / केंद्र निदेशक के हस्ताक्षर

SIGNATURE OF THE HEAD OF THE DEPARTMENT/ CENTRE DIRECTOR

नाम / Name :

दिनांक / Date :

